**ORIGINAL ARTICLE** 



# Deep convolutional neural network for hippocampus segmentation with boundary region refinement

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#### Abstract

Accurately segmenting the hippocampus from magnetic resonance (MR) brain images is a crucial step in studying brain disorders. However, this task is challenging due to the low signal contrast of hippocampal images, the irregular shape, and small structural size of the hippocampi. In recent years, several deep convolutional networks have been proposed for hippocampus segmentation, which have achieved state-of-the-art performance. These methods typically use large image patches for training the network, as larger patches are beneficial for capturing long-range contextual information. However, this approach increases the computational burden and overlooks the significance of the boundary region. In this study, we propose a deep learning–based method for hippocampus segmentation with boundary region refinement. Our method involves two main steps. First, we propose a convolutional network that takes large image patches as input for initial segmentation. Then, we extract small image patches around the hippocampal boundary for training the second convolutional neural network, which refines the segmentation in the boundary regions. We validate our proposed method on a publicly available dataset and demonstrate that it significantly improves the performance of convolutional neural networks that use *single-size* image patches as input. In conclusion, our study proposes a novel method for hippocampus segmentation, which improves upon the current state-of-the-art methods. By incorporating a boundary refinement step, our approach achieves higher accuracy in hippocampus segmentation and may facilitate research on brain disorders.

Keywords Hippocampus segmentation · U-Net · Deep learning · Boundary refinement

# 1 Introduction

With the advancement of artificial intelligence technology and computer hardware, computer-aided diagnosis (CAD) has attracted increasing attention [1]. Computer-aided diagnosis (CAD) refers to the use of computer technology and algorithms to assist in the diagnosis of diseases or abnormalities in medical images, such as X-rays, MRI, CT scans, and ultrasound. CAD systems can help identify patterns and features in medical images that may be difficult for a human observer to detect or analyze and provide quantitative measurements or other diagnostic information that can aid in the diagnostic process. These systems can

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The hippocampus is a bilateral brain structure involved in many brain disorders, such as epilepsy, Alzheimer's disease (AD), and Parkinson's disease [2–4]. As a critical step in CAD, it is important to accurately and automatically segment the hippocampus from MR images to study these brain disorders. In the past decade, multi-atlas segmentation method has been one of the most popular medical image segmentation methods and has been widely used in the hippocampus segmentation [5-9]. The multi-atlas segmentation method uses a set of atlases (an atlas consists of an image and its segmentation label) to segment the target image and usually includes three steps, i.e., atlas selection [10, 11], image registration [12, 13], and label fusion [14–16]. In the atlas selection step, atlases that are most similar to the target image are selected. Then, each selected atlas image is registered to the target image independently, obtaining the deformation field from the atlas image to the

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target image. By using the deformation field, each atlas label is warped to the target image. These warped atlas labels are fused to obtain the final segmentation of the target image in the label fusion step.

The multi-atlas segmentation method has achieved a considerable degree of success. However, it usually takes several hours to segment the hippocampi of a subject, as it requires a number of time-consuming image registrations. In recent years, deep learning-based methods have been developed rapidly and have been widely used in the medical image segmentation [17, 18]. Most deep learning-based segmentation methods use fully convolutional networks (FCNs) for dense prediction [19]. FCNs take an image or image patch of arbitrary size as input and produce a correspondingly sized segmentation label as output with efficient inference and learning. U-Net is one of the most commonly used FCNs in the medical image segmentation and consists of a contracting path and a symmetric expanding path [20]. The contracting path is used to exact context information, and the expanding path is used to obtain precise localization. The feature maps in the contracting path are copied and concatenated to the feature maps in the expanding path to provide the detailed image information that is lost during the successive pooling operators. Based on the structure of U-Net, Oktay et al. [21] added an attention mechanism to U-Net, and proposed Attention U-Net. Gao et al. [22] used a graph convolutional network in U-Net and developed graph U-Nets. To better capture long-distance image semantic information, the transformer structure was also applied in the U-Net [23].

Deep learning-based methods have been utilized for hippocampus segmentation [24–31]. By referring to Lao et al. [24], they constructed a 3D multi-task convolutional neural network (CNN) for joint automatic hippocampal segmentation and AD classification. Nogovitsyn et al. [25] evaluated a CNN-based segmentation algorithm for the hippocampus, demonstrating superior performance compared to FreeSurfer. In [26], Folle et al. proposed a new network for hippocampus head and body segmentation using dilated convolutions and deep supervision in 3D U-Net. Ataloglou et al. [27] introduced deep CNN ensembles and transfer learning for fast and accurate hippocampus segmentation. Xie et al. [28] proposed a patch-based canonical neural network for near real-time hippocampus segmentation. Cao et al. [29] proposed multi-task neural networks for joint hippocampus segmentation and clinical score regression. Kim et al. [30] proposed an unsupervised deep learning method for hippocampus segmentation. Finally, Zhu et al. [31] introduced a deep learning-based label correction method, which was applied in multi-atlas label fusion for hippocampus segmentation.

Most of the aforementioned deep learning-based hippocampus segmentation approaches are primarily focused on network architecture design. Recently, Isensee et al. [32, 33] demonstrated that a well-trained U-Net outperforms most existing deep learning models and offered several recommendations for training deep networks, such as employing larger image patches. According to the human visual system, greater emphasis should be placed on the boundary region when recognizing or segmenting an image [34, 35]. It could increase computational overhead and, more importantly, overlook the significance of the boundary region when employing single-size image patches for training. In this study, we present a novel two-stage deep convolutional neural network method for hippocampus segmentation. The first neural network is used for initial hippocampus segmentation, while the second neural network is utilized for hippocampal boundary refinement. The proposed method is evaluated on a publicly available dataset, and our results demonstrate its efficacy. Our primary contributions can be summarized as follows:

(1) We propose a new two-stage deep convolutional neural network method for hippocampus segmentation.(2) Multi-scale image patches are employed in our approach. Large image patches are used in the first stage to capture contextual information, while small image patches are employed for boundary refinement in the second stage.(3) Our experimental findings reveal that our method significantly improves the performance of convolutional neural networks that employ *single-size* image patches as input.

## 2 Methods

The framework of the proposed hippocampus segmentation method is shown in Fig. 1. It consists of two steps. In the first step, a neural network is proposed for initial segmentation. Then, the boundary region is refined with the second neural network. In the following subsections, we will introduce these two steps, and also the details of training and inference.

#### 2.1 Initial hippocampus segmentation

U-Net [20, 36] is applied for the initial segmentation of hippocampi. U-Net consists of a contracting path and an expanding path, which are shown in the top subfigure of Fig. 2. The contracting path is built by alternating one convolution block and one  $2 \times 2 \times 2$  max pooling operation with stride 2. The convolution block consists of two  $3 \times 3 \times 3$  convolutions, each of which is followed by a batch normalization layer and a rectified linear unit (ReLU). Padded convolution layers with a padding size of 1 are used to ensure that the spatial dimension of the feature maps is preserved. In the contracting path, three max pooling operations are used to contract feature maps by a scale of  $\frac{1}{8} \times \frac{1}{8} \times \frac{1}{8}$ . The last max pooling operation is followed by a convolution block.

Correspondingly, the expanding path is built by alternating one  $2 \times 2 \times 2$  transposed convolution with stride 2, and one convolution block that has the same structure as that in the contracting path. In total, three transposed convolution operations are used to recover the resolution of feature maps in the expanding path. The expanding path is followed by a  $1 \times 1 \times 1$  convolution and a softmax layer, which outputs two feature maps for the probabilities of the hippocampus and background. The feature maps in the contracting path are concatenated to the corresponding feature maps in the expanding path to provide the detailed image information that is lost during the successive down-sampling steps.

In the initial segmentation, we randomly extract image patches with a size of  $128 \times 128 \times 128$  in the whole brain image as the input of the network. The channel dimension of the first convolution block is set to 16 (denoted as the base channel dimension) and is multiplied by 2 after each pooling operation, and divided by 2 after each transposed convolution operation.

#### 2.2 Boundary region refinement

Although large image patches are used for training the neural network in the initial hippocampus segmentation, there still exist some small isolated false positives outside the hippocampal region. Two post-processing steps are used to remove these artifacts automatically by searching the voxels of each automated segmentation to find the connected regions and selecting two regions with maximum volumes for the left and right hippocampus. Then, boundary regions are extracted for the hippocampi, by performing the dilation operation and erosion operation successively, with the same structure element, i.e., the  $3 \times 3 \times 3$  tensor whose elements are all 1.

The segmentation of boundary regions is refined by a second U-Net, which is shown in the bottom subfigure of Fig. 2. To train the network, image patches with sizes of  $16 \times 16 \times 16$  are randomly extracted from the obtained boundary regions. As small image patches are used for training, the contracting path of the network contains only two max pooling operations to contract feature maps by the scale of  $\frac{1}{4} \times \frac{1}{4} \times \frac{1}{4}$ , and the expanding path contains two transposed convolution operations to recover the resolution of feature maps. The base channel dimension is set to 32, which is multiplied by 2 after each pooling operation.

# 2.3 Details of training and inference

The networks are trained by the Adam optimizer with a batch size of 4 in the network for the initial segmentation and 15 in the network for the boundary region refinement. This is implemented in Pytorch on a single NVIDIA GeForce RTX 2080 Ti GPU [37]. The learning rates are initially set to



Fig. 1 The framework of the proposed method





0.001 and decay by each iteration with a power of 0.9 using a poly learning rate strategy. To increase the training data, several data augmentation techniques are used, including random cropping image patches; random mirror flipping across the axial, coronal, and sagittal planes by a probability of 0.5; and random intensity shift between [-0.1, 0.1] and scale between [0.9, 1.1]. The softmax Dice loss is employed to train the network [38], which is defined as,

$$L(Y, \widetilde{Y}) = -\frac{1}{N} \sum_{n=1}^{N} \frac{2 \cdot Y_n \cdot \widetilde{Y}_n}{Y_n + \widetilde{Y}_n},$$
(1)

where  $Y_n$  and  $\tilde{Y}_n$  are the ground truth and predicted probabilities of the *n*-th image patch, respectively, and *N* is the batch size. L2 Norm is applied for model regularization with a weight decay rate of 10<sup>-5</sup>. The training process is

	UNet64	AttionUNet64	UNet128	AttionUNet128	Proposed
Dice (†)	$0.852 \pm 0.031$	$0.836 \pm 0.038$	$0.885 \pm 0.023$	$0.885 \pm 0.023$	0.893±0.019
Jaccard(†)	$0.744 \pm 0.046$	$0.720 \pm 0.054$	$0.794 \pm 0.036$	$0.794 \pm 0.037$	$0.808 \pm 0.032$
HD (↓)	$19.619 \pm 26.917$	$29.899 \pm 31.454$	$11.560 \pm 22.337$	$4.747 \pm 8.358$	$3.844 \pm 1.639$
HD95 (↓)	$1.728 \pm 1.157$	$3.737 \pm 10.946$	$1.101 \pm 0.222$	$1.648 \pm 5.579$	$1.041 \pm 0.133$

Table 1 Four selected metrics (mean  $\pm$  std) of segmentation results using different methods with 30 training subjects.  $\uparrow$  indicates that a larger value corresponds to a higher segmentation accuracy, and  $\downarrow$  indicates that a smaller value corresponds to a higher segmentation accuracy. Best results in each row are typeset in bold

stopped after 1000 epochs in the initial segmentation and 4000 epochs in the boundary refinement.

In the testing stage, patches with a size of  $128 \times 128 \times 128$ are extracted to feed into the trained models with a nonoverlapped sliding window strategy for the initial segmentation. For the boundary refinement, patches with a size of  $16 \times 16 \times 16$  are extracted to feed into the trained models with an overlapped sliding window strategy with a stride of  $8 \times 8 \times 8$ , and the average of the probability maps for the overlap regions are used to obtain the boundary refinement.

#### 2.4 Evaluation metrics

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We evaluate the segmentation results by four segmentation evaluation measures: Dice coefficient, Jaccard index, Hausdorff distance (HD), and Hausdorff 95 distance (HD95) [39]. By denoting A as the reference segmentation label, B as the automated segmentation label, and V(S) as the volume of segmentation S, these evaluation measures are defined as:

Dice = 
$$2 \frac{V(A \cap B)}{V(A) + V(B)}$$
, (2)

$$Jaccard = \frac{V(A \cap B)}{V(A \cup B)},$$
(3)

$$HD = max(H(A, B), H(B, A)), where H(A, B)$$

$$= \max_{e \in \partial A} \left( \min_{f \in \partial B} d(e, f) \right),$$

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HD95 : similar to HD, except that 5% data points with the

(5)largest distance are removed before calculation,

where  $\partial A$  is the boundary voxels of A, and  $d(\bullet, \bullet)$  is the Euclidean distance of two points. In these metrics, the first two, namely, Dice and Jaccard, are used to evaluate the volumetric overlap between the automated segmentation and the reference segmentation. Higher values for these metrics indicate better segmentation results. The last two metrics, HD and HD95, are used to assess the agreement between segmentation boundaries. Lower values for these metrics indicate better segmentation results.

# **3** Experiments and results

## 3.1 Dataset and pre-processing

We downloaded a dataset from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (adni.loni.usc. edu/) as well as the corresponding hippocampus labels, which are provided by the EADC-ADNI harmonized segmentation protocol (www.hippocampal-protocol.net) [33]. The dataset consists of 135 T1 MRI scans that have been processed using a standard preprocessing protocol, including alignment along the line passing through the anterior and posterior commissures of the brain (AC-PC line), bias field correction, and spatial normalization to MNI152 template space using affine transformation.

Table 2 Four selected metrics (mean  $\pm$  std) of segmentation results using different methods with 90 training subjects.  $\uparrow$  indicates that a larger value corresponds to a higher segmentation accuracy, and  $\downarrow$ 

indicates that a smaller value corresponds to a higher segmentation accuracy. Best results in each row are typeset in bold

	UNet64	AttionUNet64	UNet128	AttionUNet128	Proposed
Dice (†)	$0.873 \pm 0.0285$	$0.872 \pm 0.026$	$0.894 \pm 0.020$	$0.896 \pm 0.020$	0.900±0.017
Jaccard(†)	$0.776 \pm 0.0437$	$0.774 \pm 0.041$	$0.809 \pm 0.032$	$0.812 \pm 0.032$	$0.819 \pm 0.028$
HD (↓)	$16.275 \pm 29.2608$	$12.532 \pm 17.91$	$6.986 \pm 15.776$	$4.783 \pm 10.202$	$3.393 \pm 1.549$
HD95 (↓)	$1.215 \pm 0.4787$	$1.247 \pm 0.501$	$1.031 \pm 0.111$	$1.029 \pm 0.132$	$1.000\pm0.000$

(4)



Fig. 3 Boxplots of four indexes for measuring hippocampus segmentation results by different methods with 30 training subjects

By carefully checking the hippocampus labels, we found 5 subjects whose hippocampus labels and images were not matched. Their subject IDs are 002\_S\_0938, 007\_S\_1304, 016\_S\_4121, 029\_S\_4279, and 136\_S\_0429. We used the remaining 130 subjects to validate the proposed method. This group was divided into training and testing sets in the experiment. To persuasively evaluate the proposed method, two different partitions were used, of which the first was randomly selecting 30 subjects as the training set and the remaining 100 subjects as the testing set, and the second was randomly selecting 90 subjects as the training set and the remaining 40 subjects as the testing set.

#### 3.2 Experiment results

The proposed method was compared with U-Net [20, 36] and AttionUNet [21] using two different sizes of image

patches, i.e.,  $64 \times 64 \times 64$  and  $128 \times 128 \times 128$ . For a fair comparison, the same settings were adopted in the learning and testing of these networks, including the same learning rate strategy, the same data augmentation techniques, and the same loss function. The batch size was set to 4, and the networks were trained until 4000 epochs.

Table 1 and Table 2 report the four metrics (mean $\pm$ std) of the segmentation results obtained by different methods including U-Net with 64 × 64 × 64 image patches as input (UNet64), AttionUNet with 64 × 64 × 64 image patches as input (AttionUNet64), U-Net with 128 × 128 × 128 image patches as input (UNet128), AttionUNet with 128 × 128 × 128 image patches as input (AttionUNet128), and the proposed method. Table 1 lists the results using 30 training subjects. It shows that the proposed method achieves the best segmentation results. For example, it outperforms UNet64, AttionUNet64, UNet128, and AttionUNet128 by 4.1%, 5.7%, 0.8%, and 0.8%, respectively, in terms of the Dice values.







Fig. 4 Boxplots of four indexes for measuring hippocampus segmentation results by different methods with 90 training subjects

It also shows that U-Net/AttionUNet with  $128 \times 128 \times 128$ image patches can obtain better segmentation results than that with  $64 \times 64 \times 64$  image patches. Table 2 lists the results using 90 training subjects and supports the same conclusion.

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Figures 3 and 4 show boxplots of the four metrics used to evaluate the segmentation performance: Dice, Jaccard, HD, and HD95. The boxplots display the performance of the proposed method and other methods under different sizes of training sets, i.e., 30 training subjects in Fig. 3 and 90 training subjects in Fig. 4. Based on the boxplots, the proposed method appears to outperform the other methods in terms of the four metrics. Specifically, the proposed method demonstrates higher values for the Dice and Jaccard metrics, indicating better volumetric overlap between the automated and reference segmentations. The proposed method also shows lower values for the HD and HD95 metrics, suggesting more accurate segmentation boundaries.

In Figs. 5 and 6, we list the hippocampus segmentation results of a randomly selected subject. The segmentation obtained by the proposed method is the most similar to the manual segmentation that is treated as the ground truth in the study. This suggests that the proposed method is likely to be accurate and reliable in identifying the boundaries of the hippocampus.

#### 4 Discussion

Deep learning-based methods have been widely used in medical image segmentation, including hippocampus segmentation. The use of fully convolutional networks enables image segmentation to be implemented in an endto-end fashion, and the segmental label is predicted with the same size as the input image. However, due to limited



Fig. 5 Hippocampus segmentations of a randomly selected subject, obtained by manual segmentation and different deep learning methods using 30 training subjects. A Manual segmentation, B UNet64, C AttUNet 64, D UNet128, E AttUNet128, F proposed method

computation resources, image patches are often used to input deep networks in the field of 3D medical image segmentation, and the patch size is an important parameter. Previous studies have suggested that large image patches are beneficial for capturing context, while small image patches are conducive to learning local image information.

Following the idea of "look closer to segment better," this paper presents a two-stage deep learning method for



Fig. 6 Hippocampus segmentations of a randomly selected subject, obtained by manual segmentation and different deep learning methods using 90 training subjects. A Manual segmentation, B UNet64, C AttUNet 64, D UNet128, E AttUNet128, F proposed method

 
 Table 3 Dice values of hippocampal segmentation results obtained by the proposed method using the base channels 8 and 16, respectively, in the first network

	With 30 training subjects	With 90 training subjects
Base channel=8	0.893	0.900
Base channel $= 16$	0.893	0.900

hippocampus segmentation. The first step uses a U-Net with 3 pooling operators and large image patches to obtain initial hippocampal segmentation, while the second step refines the boundary region using another U-Net with 2 pooling operators and small image patches. The proposed method is validated using both a small training dataset containing 30 subjects and a large training dataset containing 90 subjects and is found to outperform U-Net and Attention U-Net with single-size image patches in both settings. Moreover, the proposed method has more obvious advantages than other methods in the small training dataset, illustrating its efficiency in exploiting a limited number of training subjects.

In the proposed method, the network of the first step can use light parameters to speed up the training and testing process, as it is only used to roughly locate the hippocampus. The performance of the proposed method is not compromised when using light parameters in the first step, as demonstrated in Table 3 by the Dice values of hippocampal segmentation results obtained with base channels 8 and 16 in the first network. The use of small image patches in the second step allows for fast training and testing, making the proposed method a promising approach for hippocampus segmentation.

# 5 Conclusion

The proposed two-stage deep learning method for hippocampus segmentation has exhibited remarkable performance compared to other methods that utilize single-size image patches. The method enhances UNet64, AttentionUNet64, UNet128, and AttentionUNet128 by 4.1%, 5.7%, 0.8%, and 0.8%, respectively, in terms of the Dice values, when validated on a publicly available dataset with 30 training subjects. The method is both efficient and effective, particularly when dealing with a restricted number of training subjects. Therefore, this approach holds potential for various applications in the diagnosis and treatment of brain disorders.

However, the proposed method also has some limitations. It was only evaluated on one publicly available dataset, and the generalizability of the method to other datasets remains to be validated. Future work could focus on exploring the generalizability of the proposed method to other datasets and evaluating its performance on larger datasets. It would also be interesting to investigate the potential of transfer learning or domain adaptation to improve the performance of the method when the target dataset is significantly different from the training dataset.

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# Declarations

Conflict of interest The authors declare no competing interests.

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